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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/324,241	06/02/1999	SALIM G. KARA	48237-P005US	1269

7590

01/30/2002

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EXAMINER

COSIMANO, EDWARD R

ART UNIT	PAPER NUMBER
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2161

DATE MAILED: 01/30/2002

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Please find below and/or attached an Office communication concerning this application or proceeding.



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EXAMINER

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DATE MAILED: 12/21/2001

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Office Action Summary

Application No.

09/324,241

Applicant(s)

KARA, SALIM G.

Examiner

Edward R. Cosimano

Art Unit

2161

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 10 October 2001.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,4-9,29-43,48-65,68-76 and 96-127 is/are pending in the application.
- 4a) Of the above claim(s) None is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1,4-9,29-43,48-65,68-76 and 96-111 is/are allowed.
- 6) ☒ Claim(s) 112-127 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 02 June 1999 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

1. Applicant should note the changes to patent practice and procedure:
 - A) effective December 01, 1997 as published in the Federal Register, Vol 62, No. 197, Friday October 10, 1997; and
 - B) effective November 07, 2000 as published in the Federal Register, Vol 65, No. 54603, September 08, 2000.
2. The specification and drawings have not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification or drawings. Applicant should note the requirements of 37 CFR § 1.74, § 1.75, § 1.84(o,p(5)), § 1.121(a)-1.121(f) & § 1.121(g)-1.121(h).
3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

 - (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
 - (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
 - (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.
- 3.1 Claims 98-111 are rejected under 35 U.S.C. § 102(b) as being clearly anticipated by either Tanaka et al (4,108,364) or the Business Editors document or the Automatic I.D. article or Chapman (5,432,506) or Berson (5,598,477) or Royer (WO 97/14482).
- 3.2 Claims 98-111 are rejected under 35 U.S.C. § 102(e) as being clearly anticipated by either Lee et al (6,170,744) or Kay (6,223,166).

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3.3 Claims 98-111 are rejected under 35 U.S.C. § 102(a) as being clearly anticipated by Fouracres et al (EP 0699327).

3.4 In regard to claims 98-111, either Tanaka et la ('364) or the Business Editors document or the Automatic I.D. article or Chapman ('506) or Berson ('477) or Royer ('482) or Lee et al ('744) or Kay ('166) or Fouracres et al ('327) disclose a system for the user to generate valid tickets. In these systems once the user has accessed the system and has decided to purchase an item, e.g. a ticket, the user must enter required information into the system. After the required information has been entered into the systems of either Tanaka et la ('364) or the Business Editors document or the Automatic I.D. article or Chapman ('506) or Berson ('477) or Royer ('482) or Lee et al ('744) or Kay ('166) or Fouracres et al ('327) these systems use the entered information an information about the item to generate encrypted validation information using a valid unique identifier and encryption key. Next, after a media, e.g. ticket stock, has been placed in the user's printer, the system prints the purchased item along with the machine readable, e.g. bar coded, encrypted validation information and human readable information on the media. When the user wishes to use the purchased and printed item, the item may be validated at the point of use by accessing the systems of either Tanaka et la ('364) or the Business Editors document or the Automatic I.D. article or Chapman ('506) or Berson ('477) or Royer ('482) or Lee et al ('744) or Kay ('166) or Fouracres et al ('327) and entering information from the purchased item.

3.4.1 In regard to claims 100 & 107, since the purpose of the unique identifier is to prevent illegal duplications of the printed item, the systems of either Tanaka et la ('364) or the Business Editors document or the Automatic I.D. article or Chapman ('506) or Berson ('477) or Royer ('482) or Lee et al ('744) or Kay ('166) or Fouracres et al ('327) would use a validity check for the unique identifier being used.

4. Applicant's amendment necessitated the new grounds of rejection. Accordingly, **THIS ACTION IS MADE FINAL**. See M.P.E.P. § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

4.1 A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION.

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IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.

5. The following is an Examiner's Statement of Reasons for Allowance over the prior art of record:

A) the prior art, for example: either Tanaka et al ('364) or the Business Editors document or the Automatic I.D. article or Chapman ('506) or Berson ('477) or Royer ('482) or Lee et al ('744) or Kay ('166) or Fouracres et al ('327) disclose a system for the user to generate valid tickets based on user entered information that is used to generate encrypted validation information. Next, after a media, e.g. ticket stock, has been placed in the user's printer, the system prints the purchased item along with the machine readable encrypted validation information and human readable information on the media. When the user wishes to use the purchased and printed item, the item may be validated at the point of use by entering information from the purchased item.

B) however, in regard to claims 1 & 65, the prior art does not teach or suggest printing the purchased item with multiple sections that include a security imprint in each section. Claims 4-9 & 68-76 are allowable for the same reason.

C) in regard to claims 29, 48, 112 & 120, the prior art does not teach or suggest the seller of the purchased item communicating to the purchaser unique receipt data that includes encrypted validation information and the decryption key used for decrypting the encrypted validation information where the decryption key is associated with the unique receipt media. Claims 30-43, 49-60, 62-64, 96, 97, 113-119 & 121-127 are allowable for the same reason.

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6. Response to applicant's arguments.

6.1 All rejections and objections of the previous Office action not repeated or modified and repeated here in have been over come by applicant's last response.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward Cosimano whose telephone number is (703) 305-9783. The examiner can normally be reached Monday through Thursday from 7:30am to 6:00pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James P. Trammell, can be reached on (703)-305-9768. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

7.1 The fax phone number for UNOFFICIAL/DRAFT FAXES is (703) 746-7240.

7.2 The fax phone number for OFFICIAL FAXES is (703) 746-7239.

7.3 The fax phone number for AFTER FINAL FAXES is (703) 746-7238.

12/15/01



Edward R. Cosimano
Primary Examiner A.U. 2161